SEP 2 7 2004

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/743,857 Filing Date TRANSMITTAL 12/22/2003 **FORM** First Named Inventor Von Oepen Art Unit 3738 Examiner Name Unassigned (to be used for all correspondence after initial filing) Attorney Docket Number JM 009 CON Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Return Receipt Postcard Request for Refund Express Abandonment Request Copy of Foreign Patent Publication CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Luce, Forward, Hamilton & Scripps LLP Signature Printed name Nicola A. Pisano Date Reg. No. 34,408 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Leigh A. Coleman Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Appl | ication of:<br>Von Oepen et al.  | )           | Group | Art | Unit: | 3738 |
|------------|--|-------------|-------|-----|-------|------|
| Serial No. | : 10/743,857   | )           |       |     |       |      |
| Filed:     | 22 December 2003   | )           |       |     |       |      |
| For:       | METHODS AND APPARATUS FOR<br>A STENT HAVING AN<br>EXPANDABLE WEB STRUCTURE | )<br>)<br>) |       |     |       |      |

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, applicant makes the references cited on the accompanying form PTO/SB/08a of record in the above-identified application. In accordance with 37 C.F.R. § 1.97 (b), this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08a, as considered and initialed

by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

Copies of the U.S. patents listed on the enclosed form PTO/SB/08 are not enclosed, in accordance with the waiver of the requirement of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 that appears in the Official Gazette Notice (Week No. 31) dated August 5, 2003 and entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications Filed After June 30, 2003". A copy of the foreign patent publication is enclosed herewith.

As this submission is filed before the mailing of the first Office Action, Applicant believes there are no fees due at this time. In the event that a fee is required, please charge our Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

September 24, 2004

Nicola A. Pisano

Attorney for Applicant(s)

Reg. No. 34,408

c/o LUCE, FORWARD, HAMILTON &

SCRIPPS

11988 El Camino Real, Suite

200

San Diego, CA 92130 Tel No.:(858)720-6320 SEP 2 7 2004

Sheet 1

PTO/SB/08A (08-03)

Approved for use through 07/31/2006 (OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to res

TENZE TRAD Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known      |            |  |  |
|------------------------|------------|--|--|
| Application Number     | 10/743,857 |  |  |
| Filing Date            | 12/22/2003 |  |  |
| First Named Inventor   | Von Oepen  |  |  |
| Art Unit               | 3738       |  |  |
| Examiner Name          | Unassigned |  |  |
| Attorney Docket Number | JM-009 CON |  |  |

|                       |              |  | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              | <sup>US-</sup> 6,099,561                                 | 08-08-2000                     | Alt  |   |
|                       |              | <sup>US-</sup> 6,491,718                                 | 12-10-2002                     | Ahmad  |   |
|                       |              | <sup>US-</sup> 5,938,682                                 | 08-17-1999                     | Hojeibane, et al.                                  |   |
|                       |              | <sup>US-</sup> 6,558,415                                 | 05-06-2003                     | Thompson   |   |
|                       |              | <sup>US-</sup> 6,132,460                                 | 10-17-2000                     | Thompson   |   |
|                       |              | US- 6,506,211  | 01-14-2003                     | Skubitz, et al.                                    |   |
|                       |              | <sup>US-</sup> 6,485,508                                 | 11-26-2002                     | McGuinness   |   |
|                       |              | <sup>US-</sup> 6,348,065                                 | 02-19-2002                     | Brown, et al.                                      |   |
|                       |              | <sup>US-</sup> 2002/0065549                              | 05-30-2002                     | White, et al.                                      |   |
|                       |              | <sup>US-</sup> US2003/120334                             | 06-26-2003                     | Gerbeding  |   |
|                       |              | US-  |                                |  |   |
|                       |              | US-  |                                |  |   |
|                       |              | US-  |                                |  |   |
|                       |              | U\$-   |                                |  |   |
|                       |              | US-  |                                |  |   |
|                       |              | US-  |                                |  |   |
|                       |              | US-  |                                |  |   |
|                       | 1            | US-  |                                |  |   |
|                       |              | US-  |                                |  |   |

|                       |              | FORE  | IGN PATENT DOC      | JMENTS   |   |                |
|-----------------------|--------------|---|---------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                       |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T <sup>6</sup> |
|                       |              | WO 01/01885   | 01-11-2001          | ENDOTEX INTERVENTIONAL SYSTEMS                     |   |                |
|                       |              |   |                     |  |   |                |
|                       |              |   |                     |  |   | <u> </u>       |
|                       |              |   |                     |  |   | -              |
|                       |              |   |                     |  |   | ┡              |
|                       |              |   |                     |  |   |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |
| o.ga.a.   | <br>       |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Einds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Finds Codes of USPTO Patent Documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.